

Docket No.: 03USFP857  
KUD.084

10/512139 #2  
DT01 Rec PCT/PTC 22 OCT 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Hironori Yamamoto, et al.

Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith Examiner: Unknown

For: ANODE FOR SECONDARY BATTERY AND SECONDARY BATTERY  
USING THE SAME

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:


Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449 and some of which are cited in the International Search Report (Form PCT/ISA/210), to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, in compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of some of these documents are discussed on page 1-6 of the subject application. Further, English-language Abstract are attached to the references.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



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Registration No. 34,386

Date: 10/22/04  
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**INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY DOCKET NO.

03USEP852-K.F

APPLICATION NO.

10/512139

APPLICANT(S)

Hironori Yamamoto, et al.

Rec'd PCT/PTC 22 OCT 2004

FILING DATE

Concurrently Herewith

GROUP ART UNIT

Not Yet Assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2000-215887	08/04/2000	Japan			ABS	
		9-259868	10/03/1997	Japan			ABS	
		2000-182671	06/30/2000	Japan			ABS	
		2000-311681	11/07/2000	Japan			ABS	
		2001-68112	03/16/2001	Japan			ABS	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.  
03USFP852-K.F.

APPLICATION NO. 104512139  
Not Yet Assigned  
Rec'd PCT/PTO 22 OCT 2004

Hironori Yamamoto, et al.

FILING  
Concurrently Herewith

GROUP ART  
Not Yet Assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2001-76719	03/23/2001	Japan			ABS	
		2001-243946	09/07/2001	Japan			ABS	
		2002-15729	01/18/2002	Japan			ABS	
		8-329929	12/13/1996	Japan			ABS	
		2003-217574	07/31/2003	Japan			ABS	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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**INFORMATION DISCLOSURE**  
(Use several sheets if necessary)

ATTY DOCKET NO.  
03USFP852-K.F.

APPLICATION NO. **107512139**  
No Yet Assigned  
Rec'd PCT/PTO **22 OCT 2004**

Hironori Yamamoto, et al  
FILING  
Concurrently Herewith

GROUP ART  
Not Yet Assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2003-115293	04/18/2003	Japan			ABS	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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